

# Discrete Dynamical Systems and Automata

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# Automata and dynamics

The automaton transformations over alphabet

$$\mathbb{F}_p = \{0, 1, \dots, p - 1\},$$

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An (synchronous) **automaton (transducer)** is 6-tuple  $\mathcal{A} = (\mathcal{I}, \mathcal{S}, \mathcal{O}, S, O, s_0)$  where  $\mathcal{I}$  is an input alphabet,  $\mathcal{S}$  is a set of states,  $\mathcal{O}$  is an output alphabet,  $S : \mathcal{I} \times \mathcal{S} \rightarrow \mathcal{S}$  is a state update map,  $O : \mathcal{I} \times \mathcal{S} \rightarrow \mathcal{O}$  is an output map,  $s_0 \in \mathcal{S}$  is an initial state.

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Note that  $\mathcal{I}, \mathcal{O}$  are finite alphabets, however  $\mathcal{S}$  could be an infinite set of states.

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Let's consider only **accessible** automata: where any state  $s \in \mathcal{S}$  of automaton  $\mathcal{A}$  is reachable from initial state  $s_0$  after a finite input word  $u$  was fed to the automaton.

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Automata can be considered as (non-autonomous) **dynamical systems on the space of  $p$ -adic integers  $\mathbb{Z}_p$** .

# Automata and dynamics

For every accessible automaton  $\mathcal{A}$  we associate a family of automata  $\mathcal{A}_s = (\mathcal{I}, \mathcal{S}, \mathcal{O}, \mathcal{S}, \mathcal{O}, s)$ , and corresponding family  $\mathcal{F} = \{f_s : s \in \mathcal{S}\}$  of 1-Lipschitz transformations  $f_{\mathcal{A}_s}$ ,  $s \in \mathcal{S}$  on  $\mathbb{Z}_p$ .



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## Reminder: transitivity of families of mappings

A family  $\mathcal{F}$  of transformations on the set  $M$  is called **transitive** if for any pair  $(a, b) \in M \times M$  there exists  $f \in \mathcal{F}$  such that  $f(a) = b$ .

Note that a bijective transformation  $f : M \rightarrow M$  is said to be transitive, whenever the family  $\{e, f^{\pm 1}, f^{\pm 2}, \dots\}$  is transitive.

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### Complete transitive

Automaton  $\mathcal{A}$  is said to be **completely transitive**, if family  $f_s \bmod p^n : \mathbb{Z}/p^n\mathbb{Z} \rightarrow \mathbb{Z}/p^n\mathbb{Z}$ ,  $s \in \mathcal{S}$  is transitive over  $\mathbb{Z}/p^n\mathbb{Z}$ ,

# Automata and dynamics

**V.S. Anashin** showed that an automaton  $\mathcal{A}$  is completely transitive if and only if the Lebesgue measure  $\alpha(f_{s_0}) = 1$  for a closure of all the points  $(\frac{x \bmod p^k}{p^k}, \frac{f_{s_0}(x) \bmod p^k}{p^k})$ ,  $x \in \mathbb{Z}_p$ ,  $k = 1, 2, 3, \dots$  in the Euclidean unit square  $\mathbb{E}^2 = [0, 1] \times [0, 1] \subset \mathbb{R}^2$ .

# Geometrical images of automata

Let's enumerate symbols of the alphabet  $\mathbb{F}_p = \{0, 1, \dots, p-1\}$  with natural numbers  $\alpha_i \in \mathbb{F} = \{1, \dots, p\}$ . Next let's correspond to the word  $u = \alpha_{k-1} \dots \alpha_1 \alpha_0$  over the alphabet  $\mathbb{F}$  the rational number  $\vec{u} = \alpha_0 + \frac{\alpha_1}{p+1} + \dots + \frac{\alpha_{k-1}}{(p+1)^{k-1}}$ .

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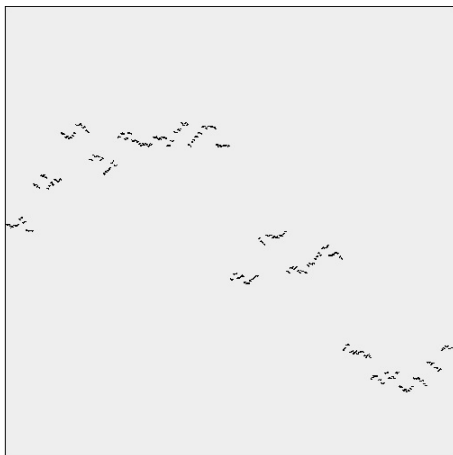
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# Geometrical images of automata

Example of geometrical image of automaton:



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For every state  $s \in \mathcal{S}$  of an automaton  $\mathcal{A}$  we associate a map  $R_s : \mathbb{F}_p \rightarrow \mathbb{F}_p$  that transforms input symbol  $x \in \mathbb{F}_p$  into output symbol  $y \in \mathbb{F}_p$ . Note, that  $R_s$  is not necessarily a surjective map.



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A correspondence of each state  $s \in \mathcal{S}$  of a map  $R_s$  creates a new **automaton**  $\mathcal{B}$ . Let's consider automata class  $\mathcal{K}(\mathcal{A})$  that is constructed this way. Denote  $\Omega_{\mathcal{B}}(f_s)$  a geometrical image of automaton  $\mathcal{B}$ .

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### Theorem 1 (L.T., 2013)

*An automaton  $\mathcal{A}$  is completely transitive if and only if there exists the automaton  $\mathcal{B} \in \mathcal{K}(\mathcal{A})$  and the **geometrical images**  $\omega(f_{s_0}) \subset \Omega(f_{s_0})$ ,  $\omega(f_s) \subset \Omega_{\mathcal{B}}(f_s)$  such that  $\omega(f_{s_0})$  and  $\omega(f_s)$  are **affine equivalents**.*

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**Sketch of proof.** If we consider the words of finite length  $k$  as prefixes of infinite words over the alphabet  $\mathbb{F}_p$ , that in their turn are elements of ring of  $p$ -adic integers  $\mathbb{Z}_p$ , the coincidence of these prefixes means that the distance between infinite words on a ultrametric space  $\mathbb{Z}_p$  is equal to  $p^{-k}$ . That means, that the distance between  $f_s$ - images not more than  $p^{-k}$ , because the mappings  $f_s : \mathbb{Z}_p \rightarrow \mathbb{Z}_p$  are 1-Lipschitz.

In addition, all such infinite words have a common prefix with length  $k \geq 0$  as  $p$ -adic integers fall into the ball

$B_{p^{-k}} = \{z \in \mathbb{Z}_p : |z - a|_p \leq p^{-k}\}$  with radius  $p^{-k}$  and center at  $a \in \mathbb{Z}_p$ . Moreover, the word  $u$  of length  $k$  as prefix of  $z \in \mathbb{Z}_p$  is the reduction of  $z$  modulo  $p^k$ , i.e.  $u \in \mathbb{Z}/p^k\mathbb{Z}$ .

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Furthermore, if  $f_{s_0}(z) \equiv f_s(z) \pmod{p^k}$ , then  $f_{s_0}(z)$  and  $f_s(z)$  falls into one ball, and points of  $\Omega(f_{s_0})$ ,  $\Omega(f_s)$  that correspond to input/output words of length  $k$  coincide and form elements of  $\omega(f_{s_0})$  and  $\omega(f_s)$  sets.

Obviously  $f_{s_0}(z) \equiv f_s(z) \pmod{p^k}$  implies that  $f_{s_0}(z) \equiv f_s(z) \pmod{p^\ell}$  for all  $\ell = 1, \dots, k - 1$ , therefore the points of images of words of length  $\ell$  **coincide**.

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The case of  $f_{s_0}(z) \not\equiv f_s(z) \pmod{p}$  means that  $f_{s_0}(z), f_s(z)$  fall into different balls of radius  $p^{-1}$  and the points in  $\omega(f_{s_0})$  and  $\omega(f_s)$  by appropriate input/output words of length 1 is compatible by affine transformation

$$\phi_1 : (\vec{u}, f_s(\vec{u})) \mapsto (\vec{u}, f_{s_0}(\vec{u}) + d), d \in \mathbb{Z}.$$



If  $f_{s_0}(z) \not\equiv f_s(z) \pmod{p^k}$  and  $f_{s_0}(z) \equiv f_s(z) \pmod{p^{k-1}}$ , then  $f_{s_0}(z), f_s(z) \in B_{p^{k-1}}$  and points of images  $\omega(f_{s_0})$  and  $\omega(f_s)$  for words with length  $k$  are compatible by transformation  $\phi_k : (\vec{u}, f_s(\vec{u})) \mapsto (\vec{u}, f_{s_0}(\vec{u}) + d), d \in \mathbb{Q}$ .

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The **transitivity** of family  $\mathcal{F}$  for an automaton  $\mathcal{A}$  means that for a given pair  $u, w$  of strings with length  $k$  there exists a finite word  $v$  such that sends the automaton out of  $s_0$  into the state  $s$  such that  $f_s(\vec{u}) = \vec{w}$ .

The set of maps  $R_s$  generates automaton  $\mathcal{B} \in \mathcal{K}(\mathcal{A})$  such that the point  $(\vec{u}, f_{s_0}(\vec{u})) \in \omega(f_{s_0})$  is transferred into a point  $(\vec{u}, \vec{w}) \in \omega(f_s) \subset \Omega_{\mathcal{B}}(f_s)$  by transformation  $\phi : (\vec{u}, f_{s_0}(\vec{u})) \mapsto (\vec{u}, cf_{s_0}(\vec{u}) + d)$ ,  $c, d \in \mathbb{Q}$ .

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$$\phi : (\vec{u}, f_{s_0}(\vec{u})) \mapsto (\vec{u}, cf_{s_0}(\vec{u}) + d), \quad c, d \in \mathbb{Q}.$$

Sufficiency. If the images  $\omega(f_{s_0})$  and  $\omega(f_s)$  coincide, then

$f_{s_0}(\vec{u}) \equiv f_s(\vec{u}) \pmod{p^k}$  for some  $u$  of length  $k$ , and  $f_s \in \mathcal{F}$ . If

$\omega(f_{s_0}), \omega(f_s)$  are  $\phi$ -equivalent, then  $f_s \in \mathcal{F}$ . q.e.d.

# Geometrical images of automata

**V.S. Anashin** proposed to consider the automaton mappings by means of  **$\beta$ -expansion**. The  $\beta$ -expansions are radix expansions in non-integer bases; Given  $x \in \mathbb{R}$ ,  $x \geq 0$  and  $\beta \in \mathbb{R}$ ,  $\beta > 1$  we call representation of the form  $x = \sum_{n=1}^{\infty} \chi_n \beta^{-n}$  a  $\beta$ -expansion, where  $\chi_i \in \{0, 1, \dots, \lfloor \beta \rfloor\}$ . For word  $x = x_{n-1} \dots x_1 x_0$ ,  $x_i \in \mathbb{F}_p$  we put into correspondence a point

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Considering all points  $(\overleftarrow{x}, f_{s_0}(\overleftarrow{x}))$ , where  $x$  ranges over all finite words, we get a set of points in the Euclidean unit square  $\mathbb{E}^2 = [0, 1] \times [0, 1]$ . A closure of all such points is the **geometrical image** of automaton  $\mathcal{A}$  ( $\beta$ -plot of the automaton).

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The problem of characterizing of the transitive family of automaton mappings in the  $\beta$ -plots **remains open**.



# Words

Let  $\mathcal{A} = (\mathfrak{I}, \mathcal{S}, \mathcal{O}, S, O, s_0)$  be an automaton, where  $\mathfrak{I} = \mathcal{O} = \mathbb{F}_p$ . State update function  $S$  and output function  $O$  can be continued to the set  $\mathfrak{I}^* \times \mathcal{S}$  ( $\mathfrak{I}^*$  is a set of all finite words over alphabet  $\mathfrak{I}$ ) according to the following recurrent rules:

$$\begin{aligned} S(e, s) &= s, O(e, s) = e, \\ S(x \cdot w, s) &= S(w, S(x, s)), \\ O(x \cdot w, s) &= O(x, s) \cdot O(w, S(x, s)), \end{aligned}$$

where  $x \in \mathfrak{I}$ ,  $s \in \mathcal{S}$ , and  $w \in \mathfrak{I}^*$  are arbitrary elements. The automaton  $\mathcal{A}$  defines a function  $O(\cdot, s_0) : \mathfrak{I}^* \rightarrow \mathcal{O}^*$  that specifies the action of the automaton on finite words.

# Words

Moreover, state update function  $S$  and output function  $O$  can be continued to the set  $\mathcal{I}^\infty \times \mathcal{S}$  ( $\mathcal{I}^\infty$  is a set of all infinite words over alphabet  $\mathcal{I}$ ).

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A mapping  $f_{s_0} : \mathcal{I}^\infty \rightarrow \mathcal{O}^\infty$  is said to be defined by automaton  $\mathcal{A}$  if  $f_{s_0}(w) = O(w, s_0)$  for any  $w \in \mathcal{I}^\infty$ .

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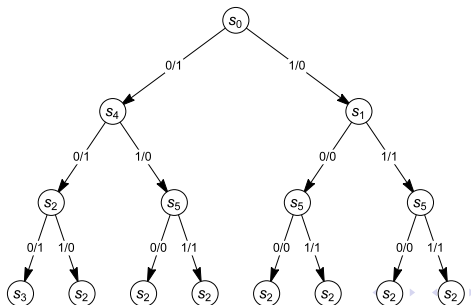
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The mapping defined by an automaton is called **the action on infinite words** of this automaton.

# Words

Remind that (initial) automaton action on a input sequences can be represented as labeled **infinite homogeneous tree**  $T(\mathcal{A})$ . The vertices of such tree correspond to the states of automaton, and for every symbol  $x \in \mathfrak{I}$  of the input alphabet an arrow labeled by  $x|O(x, s)$  starts from state  $s$  to state  $S(x, s)$ . The root of this tree  $T(\mathcal{A})$  associated with initial state  $s_0$  of automaton  $\mathcal{A}$ . From each vertex goes exactly  $\#\mathfrak{I} = p$  arrows. To find out action of the automaton  $\mathcal{A}$  on the word  $w \in \mathfrak{I}^\infty$ , we should move, starting from root of the tree  $T(\mathcal{A})$ , along the arrows of the tree so that the word  $w$  reads on the left parts of the labels along the arrows; then the product of all right parts of the labels will be equal to  $O(w, s_0) \in \mathcal{O}^\infty$ .

For instance,  $\mathcal{A} = (\{0, 1\}, \mathcal{S}, \{0, 1\}, S, O, s_0)$ , where  $\mathcal{S} = \{s_0, s_1, s_2, \dots\}$  can be represented as labeled directed tree  $T(\mathcal{A})$ . The vertices of the tree correspond to the states of automaton, and for every symbol  $x \in \{0, 1\}$  of the input alphabet an arrow labeled by  $x|O(x, s)$  starts from state  $s$  to state  $S(x, s)$ :  $S(0, s_0) = s_4$ ,  $O(0, s_0) = 1$ ,  $S(1, s_4) = s_5$ ,  $O(1, s_4) = 0, \dots$



## Dynamics; Measure-preserving maps

**Dynamical system on a measurable space  $\mathbb{S}$**  is understood as a triple  $(\mathbb{S}, \mu, f)$ , where  $\mathbb{S}$  is a set endowed with a measure  $\mu$ , and  $f : \mathbb{S} \rightarrow \mathbb{S}$  is a **measurable function**.

## Dynamics; Measure-preserving maps

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A **trajectory** of the dynamical system is a sequence  $x_0, x_1 = f(x_0), \dots, x_i = f(x_{i-1}) = f^i(x_0), \dots$  of points of the space  $\mathbb{S}$ ,  $x_0$  is called an **initial** point of the trajectory.

### Reminder: measure-preserving maps

A mapping  $F : \mathbb{S} \rightarrow \mathbb{S}$  of measurable space  $\mathbb{S}$  into a measurable space  $\mathbb{Y}$  endowed with probabilistic measure  $\mu$  and  $\nu$ , respectively, is said to be **measure-preserving** whenever  $\mu(F^{-1}(S)) = \nu(S)$  for each measurable subset  $S \subseteq \mathbb{S}$ .



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Consider dynamical system  $(\mathbb{Z}_p, \mu, f_{\mathcal{A}})$  on  $\mathbb{Z}_p$ , where map  $f_{s_0} : \mathbb{Z}_p \rightarrow \mathbb{Z}_p$  defined by some automaton  $\mathcal{A} = (\mathbb{F}_p, \mathcal{S}, \mathbb{F}_p, S, O, s_0)$ . The ring  $\mathbb{Z}_p$  can be endowed with a probability measure  $\mu$ , thus becoming a probability space. The latter measure is a normalized **Haar measure**. The base of elementary measurable subsets are all balls  $B_{p^{-k}}(a)$  of non-zero radii  $p^{-k}$ ; and we put  $\mu(B_{p^{-k}}(a)) = p^{-k}$ .

# Asynchronous automata

Now consider the asynchronous automata.

An *asynchronous automaton (transducer)* is a 6-tuple  $\mathcal{B} = (\mathcal{I}, \mathcal{S}, \mathcal{O}, S, O, s_0)$ , where

- $\mathcal{I}, \mathcal{O}$  are finite alphabets,
- $\mathcal{S}$  is a set of states,
- $S : \mathcal{I} \times \mathcal{S} \rightarrow \mathcal{S}$  is the state update function,
- $O : \mathcal{I} \times \mathcal{S} \rightarrow \mathcal{O}^*$ , where  $\mathcal{O}^*$  denotes the set of all finite strings (words) over  $\mathcal{O}$ , and
- $s_0$  is the initial state.

# Asynchronous automata

Given a transducer  $\mathcal{B}$  and an infinite input string  $\dots\alpha_2\alpha_1\alpha_0 \in \mathcal{I}^\infty$ , the corresponding output sequence  $\{\beta_i\}$  is defined recursively by

$$\beta_i = \mathcal{O}(\alpha_i, s_i)$$

The concatenation  $\dots\beta_2\beta_1\beta_0$  of the output sequence is called the output string. This string is usually infinite, but will be finite if only finitely many  $\beta_i$ 's are nonempty. We say that the transducer is *nondegenerate* if every infinite input string results in an infinite output string. In this case, the function  $f_{s_0} : \mathcal{I}^\infty \rightarrow \mathcal{O}^\infty$  mapping each input string to the corresponding output string is called the *rational* function defined by the given transducer.

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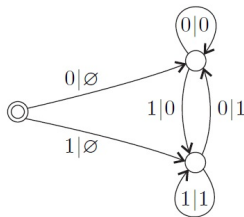
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# Asynchronous automata

Roughly speaking, an **asynchronous transducer** is a automaton that converts an input string of arbitrary length to an output string. The transducer reads one symbol at a time, changing its internal state and outputting a finite sequence of symbols at each step.

# Asynchronous automata

Roughly speaking, an **asynchronous transducer** is a automaton that converts an input string of arbitrary length to an output string. The transducer reads one symbol at a time, changing its internal state and outputting a finite sequence of symbols at each step. **Asynchronous transducers are a natural generalization of synchronous transducers, which are required to output exactly one symbol for every symbol read.** For instance, the asynchronous automaton represented by Moor diagram:



# Asynchronous automata

Let's define a function of special type for asynchronous transducer where input and output alphabets are same. Moreover, let's  $\mathcal{I} = \mathcal{O} = \mathbb{F}_p = \{0, 1, \dots, p-1\}$ .



# Asynchronous automata

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A mapping  $f_{s_0} : \mathbb{Z}_p \rightarrow \mathbb{Z}_p$  is called *n-unit delay* whenever given an asynchronous transducer  $\mathcal{B} = (\mathbb{F}_p, \mathcal{S}, \mathbb{F}_p, \mathcal{S}, O, s_0)$  translated input string  $\alpha = \dots \alpha_n \dots \alpha_1 \alpha_0$  (viewed as a infinite stream of symbols) into output string of form  $\beta = \dots \beta_{n+1} \beta_n$ .

# Asynchronous automata

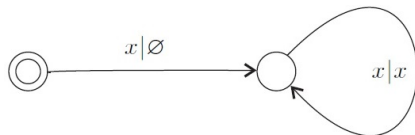
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Specifically, if the transducer reads as input a symbol  $\alpha_t$  at time  $t$ , it will produce the symbol as output at time  $t + 1$ . At time  $t = 0$ , the transducer outputs nothing.

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Specifically, if the transducer reads as input a symbol  $\alpha_t$  at time  $t$ , it will produce the symbol as output at time  $t + 1$ . At time  $t = 0$ , the transducer outputs nothing. We indicate this by saying that the transducer translates input  $\dots\alpha_2\alpha_1\alpha_0$  into output  $\dots\beta_2\beta_1e$ , where  $e$  is empty word.

# Asynchronous automata

A  $n$ -unit delay transducer is one that produces the output  $n$  times unit later; that is, the input  $\dots\alpha_n\dots\alpha_1\alpha_0$  translated into output  $\dots\beta_{n+1}\beta_n e^n$ ,

# Asynchronous automata

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## Theorem 2

*A  $n$ -unit delay mapping  $f_{s_0} : \mathbb{Z}_p \rightarrow \mathbb{Z}_p$  is continuous.*



## Criteria of preserve the measure

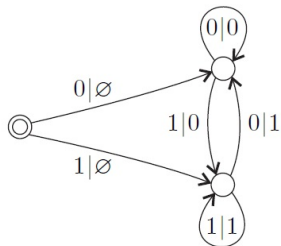
Given  $n$ -unit delay transducer  $\mathcal{B} = (\mathbb{F}_p, \mathcal{S}, \mathbb{F}_p, \mathcal{S}, O, s_0)$ , and tree  $T(\mathcal{B})$  we call a **reachable** set of states  $V$  of automaton  $\mathcal{B}$ , if each vertex of tree  $T(\mathcal{B})$  associated with element of  $V$  is accessible for  $n$  steps from the root  $s_0$ . Element  $s \in V$  is called reachable state.

### Theorem 3

*A  $n$ -unit delay mapping  $f_{s_0} : \mathbb{Z}_p \rightarrow \mathbb{Z}_p$  is measure-preserving, if and only if there exists exactly  $p^n$  reachable states  $s \in V$  such that for any finite output word  $\beta$  the action of the automaton  $\mathcal{B}$  on suitable input words  $\alpha(\beta)$  coincide with output word  $O(s, \alpha(\beta)) = \beta$ .*

Thank You!

# Asynchronous automaton



# Unilateral shift

